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# Efficient synthesis of cephalotaxine- and deoxyharringtonine analogues by a trimethylaluminium-mediated domino reaction $\overset{\star}{\sim}$

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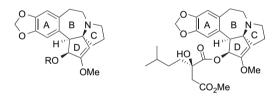
Abstract—The synthesis of cephalotaxine- and cephalotaxine amide analogues **14a**–c and **16a**–c as well as of the deoxyharringtonine analogues **5a**,**b** was performed employing a trimethylaluminium-mediated domino reaction of **9a**–c and **8** to give the spirocyclic compounds **7a**–c, which was followed by a palladium catalyzed  $\alpha$ -arylation. © 2007 Published by Elsevier Ltd.

# 1. Introduction

The development of highly efficient syntheses of natural products and their analogues is one of the major goals in modern preparative organic chemistry. One way to improve the efficiency is the use of domino reactions, which has been very successful so far.<sup>1</sup>

In domino reactions, bonds and new functionalities are formed, which, in turn, react further in subsequent steps under identical conditions. This allows the formation of complex molecules starting from simple substrates. Here we describe the synthesis of cephalotaxine- and harringtonine analogues applying a trimethylaluminium-mediated domino reaction.

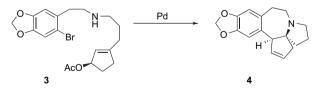
Cephalotaxine (1) is the major alkaloid found in the evergreen plum yews *Cephalotaxus*, which are indigenous in South-east Asia. Whereas cephalotaxine (1) itself has no pronounced biological activity its 2-alkylhydroxysuccinates have a strong antileukaemic activity with deoxyharringtonine (2) possessing the highest IC<sub>50</sub> value against leukaemic cells<sup>2</sup> (Scheme 1).



1: R = H, (–)-cephalotaxine 2: deoxyharringtonine

Scheme 1. Cephalotaxine (1) and deoxyharringtonine (2).

Recently we have described a highly efficient total synthesis of (-)-cephalotaxine (1), using two subsequent Pd-catalyzed reactions, namely a Tsuji–Trost- and a Heck-reaction with **3** as a substrate to give the pentacycle **4**<sup>1</sup> (Scheme 2).



Scheme 2. Domino-Tsuji-Trost-Heck-reaction for the synthesis of 3 to give 4.

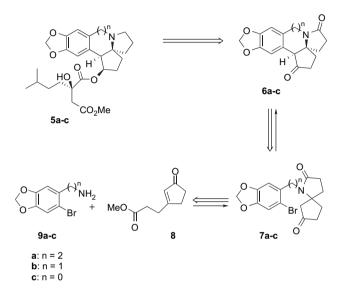
Now we wish to report a novel, even shorter approach towards cephalotaxine- and deoxyharringtonine analogues **14a–c**, **5a** and **5b**. Besides the naturally occurring 5,6,7,5,5-skeleton we also were able to synthesize pentacycles with a 5,6,6,5,5- and a 5,6,5,5,5-ring system. The latter type could not be synthesized using the domino-Tsuji–Trost–Heck-approach.

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*Keywords*: Alkaloids; Cephalotaxine; Domino reactions; Harringtonines; Palladium; Spiro compounds.

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The key reaction of our new synthesis is a trimethylaluminium-mediated domino reaction, which was developed in our group.<sup>3</sup> In this reaction, an amine is transformed into its aluminium amide and further on by an intermolecular reaction with an ester moiety into a metallated carboxylic acid amide, which reacts in an intramolecular mode with an enone moiety present in the molecule. The final product is a spirocyclic lactam. According to Scheme 3, the retrosynthesis of **5a–c** and the cephalotaxine derivatives **6a–c** leads to the ester **8**<sup>4</sup> and the amines **9a–c**<sup>5</sup> via the spirocyclic lactams **7a–c**.



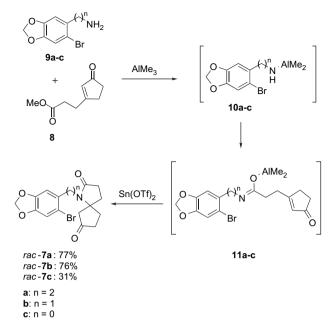
Scheme 3. Retrosynthetic analysis of 5 and 6.

#### 2. Results and discussion

Reaction of the ester 8 and the amines 9a-c in the presence of trimethylaluminium afforded the spirononanes 7a-c in 77%, 76% and 31% yield, respectively. The low yield of 7c is probably due to the diminished nucleophilicity of the aniline moiety. Several reaction conditions were tested, the best results were obtained using 1.0 equiv of the ester 8 with 2.0 equiv of the amines 9a-c and 2.08 equiv of trimethylaluminium in acetonitrile as a solvent and tin(II)triflate<sup>6</sup> as a catalyst. In former times we have performed similar reactions without the addition of the Lewis acid. However, this transformation was not reliable leading to the desired products in varying yields. It can be assumed that the Lewis acid interacts with the enone moiety to accelerate the Michael addition. For the domino reaction, we propose the following mechanism. After addition of trimethylaluminium to the amine, a Lewis acid/Lewis base complex is formed, which, after heating to 80 °C, forms the aluminium amides 10a-c. This has been confirmed by online NMR spectroscopy at different temperatures (Scheme 4).

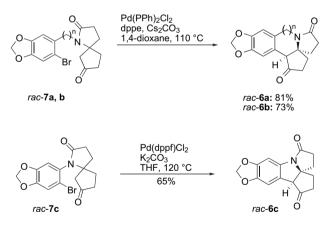
The aluminium amides 10a-c react with the ester 8 to form the carboxylic acid amide aluminium azaenolates 11a-c, which undergo an intramolecular Michael reaction with formation of the spiro compounds 7a-c.

The closure of the C-ring was performed by a palladium catalyzed  $\alpha$ -arylation<sup>7</sup> of the keto functionality. Our initial



Scheme 4. Mechanism of the spirocyclization.

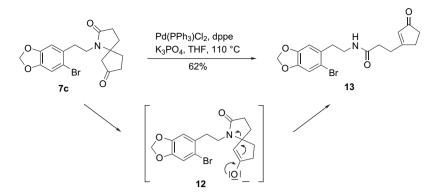
attempts using Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> as a catalyst, Cs<sub>2</sub>CO<sub>3</sub> as a base and THF as a solvent led to unsatisfactory results. Changing the solvent to 1,4-dioxane and adding dppe as ligand improved the yields for **6a** and **6b** to 81% and 73%, respectively. For **6c**, the catalyst was changed to Pd(dppf)Cl<sub>2</sub> and K<sub>2</sub>CO<sub>3</sub> was used as a base increasing the yield from initial 22% to 65% (Scheme 5).



Scheme 5. Palladium catalyzed  $\alpha$ -arylation of the spirocycles 7a-c.

Surprisingly, the use of  $K_3PO_4^{7d}$  as a base, as proposed by Buchwald for this type of reaction, led to a rearrangement of the spirocycle **7a** to give the corresponding carboxylic acid amide **13**. Under these conditions, the formation of the enolate **12** seemed to be faster than the oxidative addition with Pd to allow the elimination of the amide moiety in **12** as the preferred reaction channel (Scheme 6).

For the synthesis of the desired cephalotaxine analogues 14a-c and 16a-c, it was necessary to reduce the keto function and to transform the lactam moiety into a tertiary alcohol function. The reagent of choice for these transformations is LiAlH<sub>4</sub>. Thus, under these conditions, the lactam **6a** led to **14a** as a single diastereomer in 83% yield. Surprisingly, **6b** 



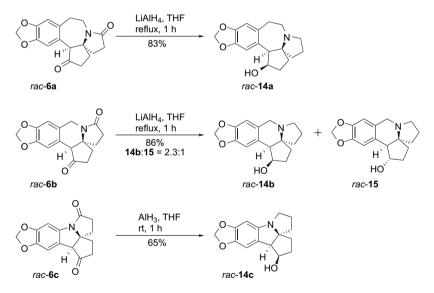
Scheme 6. Rearrangement of spirocycle 7c into the amide 13.

gave a 2.3:1 mixture of the expected isomer **14b** and **15** in 86% yield, whereas **6c** only led to decomposition. However, **6c** could be transformed into **14c** again as a single diastereomer using  $AlH_{3}$ .<sup>8</sup> To explain the different stereoselectivity of the reduction of **6a–c**, we performed Hartree–Fock calculations, applying the HF/3-21G base set, which showed that the *Re*-side of the keto functionality in **6a** and **6c** is strongly shielded, whereas in **6b** both sides of the keto functionality are accessible (Scheme 7).

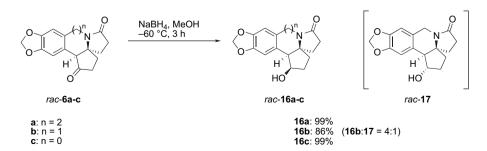
In addition to the reduction of 6a-c using LiAlH<sub>4</sub> and AlH<sub>3</sub>, respectively, we also used sodium borohydride as a reducing

agent with similar results. Transformation of **6a** and **6c** led to single diastereomers **16a** and **16c**, respectively, and **6b** led to a 4:1 mixture of **16b** and **17** (Scheme 8).

For the synthesis of the desired harringtonine analogues 5ac, it was necessary to transform the alcohols 14a-c into the esters 20a-c using the monomethylester of enantiopure malic acid 18.<sup>9</sup> However, all attempts to transform the alcohols 14a-c into the corresponding esters failed. Fortunately, the hydroxylactams 16a and 16b could be transformed into 20a and 20b using the imidazolide 19 in 65% and 53% yield, respectively. In contrast, the alcohol 16c did not give the

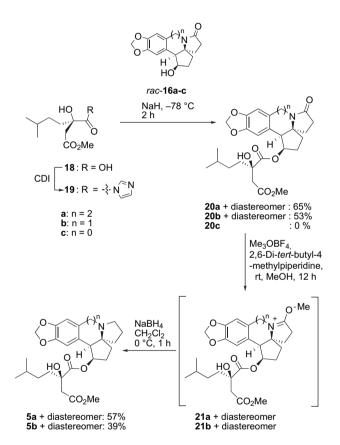


Scheme 7. Reduction of the pentacycles 6a–c to the cephalotaxine analogues 14a–c and 15.



Scheme 8. Reduction of the pentacycles 6a-c to the cephalotaxine amide analogues 16a-c and 17.

corresponding ester using several different methods. Compounds **16a** and **16b** were used as racemic mixtures, whereas **19** was employed as an enantiopure compound. We therefore obtained two diastereomers as an almost 1:1 mixture in each case. The final step in the total synthesis of the harringtonine analogues was the transformation of the lactam moiety into a tertiary amine, which again was not an easy task, since the use of lithium aluminium hydride was not feasible due to the ester moiety in the molecule. However, Boger protocol<sup>10</sup> allowed us the synthesis of **5a** and **5b** in 57% and 39% yield, respectively. For this purpose, the lactam was transformed into the iminomethylethers **21a** and **21b**, which were treated with sodium borohydride. Noteworthy, in all reactions the compounds leading to the natural 5,6,7,5,5-ring system gave the best results (Scheme 9).



Scheme 9. Synthesis of the deoxyharringtonine analogues 5a and 5b.

#### 3. Conclusion

We have established a new and highly efficient synthesis for analogues of the pentacyclic core of cephalotaxines 14a-c and 16a-c by means of a trimethylaluminium-mediated domino reaction with a successive Pd-catalyzed  $\alpha$ -arylation of the keto moiety.

Compounds **16a** and **16b** could further be transformed into the deoxyharringtonine analogues **5a** and **5b** by a coupling with the enantiomerically pure malate **18**. Interestingly, the reaction using the natural 5,6,7,5,5-ring system gave the best yield, whereas the 5,6,5,5,5-system could not be transformed into the deoxyharringtonine analogue.

#### 4. Experimental section

#### 4.1. General

All reactions were performed under an argon atmosphere in flame-dried flasks and the reactants were introduced by syringe. All solvents were dried by standard methods. Solvents used in Pd-catalyzed reactions were degassed by pump and freeze methodology. All reagents obtained from commercial sources were used without further purification. Thin layer chromatography was performed on precoated silica gel plates (SIL G/UV<sub>254</sub>, Macherey-Nagel GmbH & Co. KG). Silica gel 60 (0.032–0.064 mm) (Merck) was used for column chromatography.

UV–vis spectra were recorded in CH<sub>3</sub>CN on a Mettler Lambda 2 spectrometer. IR spectra were recorded as KBr pellets of films on a Bruker Vector 22 spectrometer. <sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded on a Varian XL200, VXR200 or a Bruker AM300 spectrometer with tetramethylsilane (TMS) as the internal standard in chloroform-*d* or benzene-*d*<sub>6</sub>. Mass spectra were measured at 70 eV on a Varian MAT311A and high-resolution mass spectra on a Varian MAT731 instrument.

## 4.2. General procedure I—trimethylaluminiummediated domino spirocyclisation

A 0.4 M solution of the amines 9a-c (2.0 equiv) in DMF was cooled to 0 °C, and a solution of Sn(OTf)<sub>2</sub> (0.1 equiv) and AlMe<sub>3</sub> (2 M in toluene, 2.1 equiv) was added, and the resulting reaction mixture was stirred for 1 h at rt after which a 0.2 M solution of the ester 8 (1.0 equiv) in DMF was added. After stirring for additional 1 h at rt, the reaction mixture was heated at 80 °C in a preheated oil bath for 18 h. The reaction mixture was cooled to 0 °C, quenched with 1 N HCl and extracted with ethyl acetate. The combined organic phases were dried over Mg<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by column chromatography.

**4.2.1.** 1-[2-(6-Bromobenzo[1,3]dioxol-5-yl)ethyl]1-azaspiro[4.4]nonane-2,7-dione (7a). According to general procedure I, amine 9a (2.03 g, 8.32 mmol, 2.0 equiv) was reacted with  $Sn(OTf)_2$  (168 mg, 0.832 mmol, 0.1 equiv), AlMe<sub>3</sub> (4.34 mL, 8.66 mmol, 2.08 equiv) and 8 (700 mg, 4.16 mmol). After purification by column chromatography with ethyl acetate/methanol (10:1) as eluent, 7a (2.30 g, 6.08 mmol) was obtained in 77% yield.

*R<sub>f</sub>*=0.23 (EE); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.94 (s, 1H, 7"-H), 6.72 (s, 1H, 4"-H), 5.93 (s, 2H, 2"-H<sub>2</sub>), 3.59–3.20 (m, 2H, 1'-H<sub>2</sub>), 2.93 (t, *J*=7.5 Hz, 2H, 2'-H<sub>2</sub>), 2.49–1.75 (m, 10H, 3-H<sub>2</sub>, 4-H<sub>2</sub>, 6-H<sub>2</sub>, 8-H<sub>2</sub>, 9-H<sub>2</sub>); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =213.9 (C-7), 174.9 (C-2), 147.5 (C-7a"), 145.5 (C-3a"), 131.0 (C-5"), 114.4 (C-6"), 112.6 (C-7"), 110.8 (C-4"), 101.7 (C-2"), 66.58 (C-5), 47.95 (C-6), 39.73 (C-1'), 36.66 (C-8), 34.90 (C-2'), 32.52, 32.51, 29.10 (C-3, C-4, C-9); IR (KBr):  $\tilde{\nu}$  = 3041 (Ar), 2935 (C-H), 1747 cm<sup>-1</sup> (C=O), 1684 (NC=O), 1475; UV (CH<sub>3</sub>CN):  $\lambda_{max}$ (lg ε)=202.5 (4.596), 238.0 (3.641), 294.5 nm (3.593); MS (70 eV, EI): *m/z* (%)=379.0/381.0 (14) [M<sup>+</sup>], 300.1 (45) [M<sup>+</sup>−Br], 228.0/226.0 (100) [C<sub>9</sub>H<sub>7</sub>BrO<sup>+</sup><sub>2</sub>], 213.0/215.0 (15)  $[M-C_8H_6BrO_2^+]$ , 166.1 (46)  $[C_9H_{12}NO_2^+]$ , elemental analysis calcd (%) for  $C_{17}H_{18}BrNO_4$  (379.0): C 53.70, H 4.77; found: C 53.44, H 4.73.

**4.2.2.** 1-(6-Bromobenzo[1,3]dioxol-5-yl)methyl-1-azaspiro[4.4]nonane-2,7-dione (7b). According to general procedure I, amine 9b (4.11 g, 17.9 mmol) was reacted with  $Sn(OTf)_2$  (360 mg, 892 µmol), AlMe<sub>3</sub> (9.3 mL, 18.6 mmol) and 8 (1.50 g, 8.92 mmol). After purification by column chromatography with ethyl acetate/methanol (10:1) as eluent, 7b (2.47 g, 6.74 mmol) was obtained in 76% yield.

*R<sub>f</sub>*=0.16 (PN/EE, 1:4); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.97 (s, 1H, 7"-H), 6.75 (s, 1H, 4"-H), 5.97 (s, 2H, 2"-H<sub>2</sub>), 4.50 (s, 2H, 1'-H<sub>2</sub>), 2.60–2.53 (m, 2H, 3-H<sub>2</sub>), 2.46–1.85 (m, 8H, 4-H<sub>2</sub>, 6-H<sub>2</sub>, 8-H<sub>2</sub>, 9-H<sub>2</sub>); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =213.5 (C-7), 174.9 (C-2), 147.9, 147.7 (C-7a", C-3a"), 129.8 (C-5"), 112.5 (C-6", C-7"), 108.2 (C-4"), 101.8 (C-2"), 66.67 (C-5), 47.76 (C-6), 42.31 (C-1'), 36.61, 32.77, 32.39, 29.19 (C-3, C-4, C-8, C-9); IR (KBr):  $\tilde{\nu}$  = 2961, 2896 (C–H), 1736 (C=O), 1674 cm<sup>-1</sup> (NC=O); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg ε)=229.0 (3.509), 264.0 (2.459), 323.5 nm (2.509); MS (DCI, NH<sub>3</sub>): *m/z* (%)=383.2/385.2 (100) [M+NH<sub>4</sub><sup>+</sup>], 366.2/ 368.2 (15) [M+H<sup>+</sup>], 305.3 (37) [M–Br+NH<sub>4</sub><sup>+</sup>].

**4.2.3.** 1-(6-Bromobenzo[1,3]dioxol-5-yl)-1-aza-spiro[4.4]nonane-2,7-dione (7c). According to general procedure I, aniline 9c (3.86 g, 17.8 mmol) was reacted with  $Sn(OTf)_2$ (360 mg, 892 µmol), AlMe<sub>3</sub> (9.3 mL, 18.6 mmol) and 8 (1.50 g, 8.92 mmol). After purification by column chromatography with ethyl acetate/methanol (10:1) as eluent, 7c (973 mg, 2.77 mmol) was obtained in 31% yield.

*R<sub>f</sub>*=0.25 (PN/EE, 1:5); <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>): δ=7.08, 7.07 (s, 1H, 7'-H), 6.61, 6.48 (s, 1H, 4'-H), 5.99, 5.95 (AB system, *J*<sub>AB</sub>=1.4 Hz, 2H, 2'-H<sub>2</sub>), 2.62–2.04 (m, 10H, 3-H<sub>2</sub>, 4-H<sub>2</sub>, 6-H<sub>2</sub>, 8-H<sub>2</sub>, 9-H<sub>2</sub>); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): δ=213.7 (C-7), 174.3 (C-2), 148.9, 148.0 (C-3a', C-7a'), 127.2 (C-5'), 116.7 (C-7'), 113.3 (C-4'), 111.5 (C-6'), 102.6 (C-2'), 68.69 (C-5), 48.17 (C-6), 36.20 (C-8), 33.24, 32.99 (C-3, C-4), 29.46 (C-9); IR (KBr):  $\tilde{\nu}$  = 2917, 2886 (C-H), 1745 (C=O), 1700 cm<sup>-1</sup> (NC=O); UV (CH<sub>3</sub>CN): λ<sub>max</sub> (lg ε)=204.0 (4.497), 241.5 (3.675), 295.0 nm (3.558); MS (DCI, NH<sub>3</sub>): *m/z* (%)=369.2/371.2 (100) [M+NH<sub>4</sub><sup>+</sup>], 352.1/354.1 (7) [M+H<sup>+</sup>], 291.3 (10) [M-Br+NH<sub>4</sub><sup>+</sup>], C<sub>15</sub>H<sub>14</sub>BrNO<sub>4</sub> (352.2); HRMS calcd 351.0106, found 351.0106.

# **4.3.** General procedure II—intramolecular palladium catalyzed arylation of the spirocycles 7a–c

To a degassed 0.02 M solution of the spirocycle 7a-c catalyst, ligand and base were added. The reaction mixture was heated at 120 °C overnight, poured into saturated NH<sub>4</sub>Cl solution and extracted three times with dichloromethane. The combined organic layers were dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by column chromatography.

**4.3.1.** 2,3,4,5,8,9-Hexahydro-6*H*,14b*H*-cyclopenta-[*a*][1,3]dioxolo[4,5-*h*]pyrrolo[2,1-*b*][3]benzazepin-1,6dion; 1,6-dioxocephalotaxan (6a). According to general procedure II, spirocycle 7a (40 mg, 0.105 mmol) was reacted in 1,4-dioxane with  $Pd(PPh_3)_2Cl_2$  (16 mg, 0.022 mmol, 0.2 equiv) and dppe (18 mg, 0.044 mmol, 0.4 equiv) as a catalyst and  $Cs_2CO_3$  as a base (138 mg, 0.422 mmol, 3.8 equiv). The crude product was purified by column chromatography on silica with ethyl acetate/pentane (4:1) as eluent to give **6a** (24 mg, 0.080 mmol, 81%).

 $R_f = 0.14$  (EtOAc/pentane=1:4); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.62 (s, 1H, 10-H), 6.57 (s, 1H, 14-H), 5.89, 5.91 (AB System,  $J_{AB}$ =1.4 Hz, 2H, 12-H<sub>2</sub>), 4.25 (ddd, J= 14.2, 11.2, 8.8 Hz, 1H, 8-H<sub>b</sub>), 3.25 (s, 1H, 14b-H), 2.96  $(dd, J=14.3, 7.9 Hz, 1H, 8-H_a), 2.71-2.06 (m, 10H, 2-H_a)$ 3-H<sub>2</sub>, 4-H<sub>2</sub>, 5-H<sub>2</sub>, 9-H<sub>2</sub>); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta =$ 216.1 (C-1), 174.8 (C-6), 147.6 (C-13a), 146.6 (C-10a), 129.4 (C-14a), 127.0 (C-9a), 111.8 (C-14), 111.0 (C-10), 101.2 (C-12), 67.94 (C-3a), 65.59 (C-14b), 38.00 (C-8), 29.65, 31.06, 32.94, 35.46, 36.73 (C-2, C-3, C-4, C-5, C-9); IR (KBr):  $\tilde{\nu} = 3027$ , 2922 (C–H), 1736 (C=O), 1672 cm<sup>-1</sup> (NC=O); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg  $\varepsilon$ )=201.5 (4.737), 289.0 nm (3.748); MS (70 eV, EI): m/z (%)=299.1 (100)  $[M^+]$ , 243.1 (40)  $[M^+-CO-C_2H_4]$ , 161.0 (43)  $[C_{10}H_9O_2^+]$ , 148.1 (76)  $[C_9H_8O_2^+]$ ; elemental analysis calcd (%) for C<sub>17</sub>H<sub>17</sub>NO<sub>4</sub> (299.3): C 68.21, H 5.72; found: C 68.65, H 5.78.

**4.3.2.** 2,3,4,5,8,13b-Hexahydro-6*H*-cyclopenta[*a*][1,3]dioxolo[4,5-*g*]pyrrolo[2,1-*b*]isochinolin-1,6-dione (6b). According to general procedure II, spirocycle 7b (40 mg, 0.110 mmol) was reacted in 1,4-dioxane with Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (20 mg, 0.028 mmol, 0.25 equiv) as a catalyst and Cs<sub>2</sub>CO<sub>3</sub> as a base (174 mmg, 0.533 mmol, 4.8 equiv). The crude product was purified by column chromatography on silica with ethyl acetate/pentane (4:1) as eluent to give **6b** (23 mg, 0.080 mmol, 73%).

*R<sub>f</sub>*=0.18 (EtOAc/pentane=1:4); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): δ=6.99 (s, 1H, 13-H), 6.60 (s, 1H, 9-H), 5.95, 5.93 (AB System, *J*<sub>AB</sub>=1.4 Hz, 2H, 11-H<sub>2</sub>), 4.96 (d, *J*=17.2 Hz, 1H, 8-H), 4.09 (d, *J*=17.2 Hz, 1H, 8-H), 3.19 (s, 1H, 13b-H), 2.66–1.93 (m, 8H, 2-H<sub>2</sub>, 3-H<sub>2</sub>, 4-H<sub>2</sub>, 5-H<sub>2</sub>); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>): δ=213.5 (C-1), 173.1 (C-6), 147.2, 147.3 (C-9a, C-12a), 124.2 (C-13a), 120.4 (C-8a), 106.3, 107.9 (C-9, C-13), 101.3 (C-11), 64.09 (C-3a), 55.75 (C-13b), 39.35 (C-8), 29.32, 29.34, 32.43, 35.58 (C-2, C-3, C-4, C-5); IR (KBr):  $\tilde{\nu}$  = 2906 (C−H), 1740 (C=O), 1688 cm<sup>-1</sup> (NC=O); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg ε)=198.0 (4.444), 291.5 nm (3.437); MS (70 eV, EI): *m/z* (%)=285.3 (100) [M<sup>+</sup>], 228.2 (78) [M<sup>+</sup>−CO−C<sub>2</sub>H<sub>4</sub>], C<sub>16</sub>H<sub>15</sub>NO<sub>4</sub> (285.1); HRMS calcd 285.1001, found 285.1001.

**4.3.3. 2,3,4,5,6,6a-Hexahydro-1***H*-cyclopenta[*a*][**1,3**]**dioxolo**[**4,5-***f*]**pyrrolo**[**1,2-***b*]**indol-1,6-dione** (**6c**). According to general procedure II, spirocycle **7c** (564 mg, 1.601 mmol) was reacted in THF with Pd(dppf)Cl<sub>2</sub> (261 mg, 0.320 mmol, 0.2 equiv) as a catalyst and K<sub>2</sub>CO<sub>3</sub> as a base (885 mmg, 6.404 mmol, 4.0 equiv). The crude product was purified by column chromatography on silica with ethyl acetate as an eluent to give **6c** (278 mg, 1.024 mmol, 65%).

 $R_f$ =0.33 (EtOAc/pentane=1:5); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =7.21 (s, 1H, 11-H), 6.87 (s, 1H, 7-H), 5.96, 5.95 (AB System,  $J_{AB}$ =1.4 Hz, 2H, 9-H<sub>2</sub>), 3.62 (s, 1H, 6a-H), 3.01 (ddd, J=17.2, 7.9, 5.0 Hz, 1H, 2-H<sub>b</sub>), 2.61 (ddd,

J=17.1, 7.9, 1.4 Hz, 1H, 2-H<sub>a</sub>), 2.47–2.10 (m, 6H, 3-H<sub>2</sub>, 4-H<sub>2</sub>, 5-H<sub>2</sub>); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =212.6 (C-1), 171.2 (C-6), 145.1, 148.3 (C-7a, C-10a), 132.4 (C-6b), 121.1 (C-11a), 105.4 (C-11), 101.7 (C-9), 98.14 (C-7), 76.28 (C-3a), 59.33 (C-6a), 33.16, 33.57, 34.13, 36.96 (C-2, C-3, C-4, C-5); IR (KBr):  $\tilde{\nu}$  = 2926 (C–H), 1741 (C=O), 1686 cm<sup>-1</sup> (NC=O); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg  $\varepsilon$ )=215.0 (4.192), 266.5 (3.819), 313.0 nm (3.710); MS (70 eV, EI): m/z (%)=271.3 (39) [M<sup>+</sup>], 215.2 (100) [M<sup>+</sup>-CO-C<sub>2</sub>H<sub>4</sub>], C<sub>15</sub>H<sub>13</sub>NO<sub>4</sub> (271.3); HRMS calcd 271.0845, found 271.0845.

**4.3.4. 2,3,4,5,8,9-Hexahydro-***6H***,14b***H***-cyclopenta***[a]***[1,3]dioxolo[4,5-***h***]<b>pyrrolo[2,1-***b***]<b>[3]benzazepin-1-ol (14a).** To a solution of **6a** (100 mg, 0.334 mmol) in THF (19 mL) LiAlH<sub>4</sub> (2.3 M in THF, 0.36 mL, 0.835 mmol, 2.5 equiv) was added and heated to reflux for 1 h. The reaction mixture was poured into NaCl saturated 10% NH<sub>4</sub>Cl solution (80 mL) and extracted with dichloromethane ( $3 \times 60$  mL). The combined organic phases were dried over Mg<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by column chromatography with ethyl acetate/methanol (8:1) and 5% NEt<sub>3</sub> as eluent to give **14a** (80 mg, 0.278 mmol) in 83% yield.

*R<sub>f</sub>*=0.39 (EtOAc/MeOH=8:1, 5% NEt<sub>3</sub>); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.69 (C, 1H, 14-H), 6.61 (C, 1H, 10-H), 5.88 (m<sub>c</sub>, 2H, 12-H<sub>2</sub>), 4.31 (m<sub>c</sub>, 1H, 1-H), 3.73 (ddd, *J*=7.6, 13.2, 14.1 Hz, 1H, 8-H<sub>b</sub>), 3.19 (d, *J*=6.3 Hz, 1H, 1-H), 3.12 (m<sub>c</sub>, 1H, 4-H<sub>b</sub>), 3.00 (ddd, *J*=6.5, 11.4, 12.5 Hz, 1H, 9-H<sub>b</sub>), 2.62 (m<sub>c</sub>, 1H, 9-H<sub>a</sub>), 2.49 (m<sub>c</sub>, 1H, 4-H<sub>a</sub>), 2.37 (m<sub>c</sub>, 1H, 8-H<sub>a</sub>), 2.16 (ddd, *J*=6.7, 13.0, 13.0 Hz, 1H, 6-H<sub>b</sub>), 1.95 (m<sub>c</sub>, 2H, 3-H<sub>b</sub>, 2-H<sub>b</sub>), 1.85–1.64 (m, 4H, 5-H<sub>2</sub>, 2-H<sub>a</sub>, 3-H<sub>a</sub>), 1.36 (m<sub>c</sub>, 1H, 6-H<sub>a</sub>).

<sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =146.6 (C-10a), 146.1 (C-13a), 133.8 (C-9a), 129.7 (C-14a), 112.2 (C-10), 110.5 (C-14), 100.8 (C-12), 75.87 (C-1), 69.18 (C-3a), 62.27 (C-14b), 54.82 (C-4), 48.84 (C-9), 43.49 (C-2), 31.48 (C-3), 24.55 (C-6), 19.79 (C-5); IR (KBr):  $\tilde{\nu}$  = 3418 (-OH), 2945 (C-H), 1479 cm<sup>-1</sup> (-OH); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg ε)= 291.5 (3.627), 232.5 (3.671), 201.5 nm (4.596); MS (70 eV, EI): *m/z* (%)=287.3 (6) [M<sup>+</sup>], 270.2 [C<sub>17</sub>H<sub>20</sub>NO<sup>±</sup><sub>2</sub>], 229.2 (9) [C<sub>14</sub>H<sub>15</sub>NO<sup>±</sup><sub>2</sub>], 217.1 (13) [C<sub>12</sub>H<sub>11</sub>NO<sup>±</sup><sub>3</sub>], C<sub>17</sub>H<sub>21</sub>NO<sub>3</sub> (287.3); HRMS calcd 287.1521, found 287.1521.

**4.3.5.** 2,3,4,5,8,13b-Hexahydro-6*H*-cyclopenta[*a*][1,3]dioxolo[4,5-*g*]pyrrolo[2,1-*b*][3]isochinolin-1-ol (14b). To a solution of **6b** (100 mg, 0.351 mmol) in THF (20 mL) LiAlH<sub>4</sub> (2.3 M in THF, 0.38 mL, 0.876 mmol, 2.5 equiv) was added and heated to reflux for 1 h. The reaction mixture was poured into NaCl saturated 10% NH<sub>4</sub>Cl solution (80 mL) and extracted with dichloromethane ( $3 \times 60$  mL). The combined organic phases were dried over Mg<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by column chromatography with dichloromethane/methanol (1:1) as eluent to give **14b** (82 mg, 0.278 mmol) in 86% yield as a 2.3:1 (**14b/15**) mixture of isomers of **14b** and **15**.

**4.3.6.** *trans*-2,3,4,5,8,13b-Hexahydro-6*H*-cyclopenta-[*a*][1,3]dioxolo[4,5-*g*]pyrrolo[2,1-*b*][3]isoquinolin-1-ol (14b).  $R_f$ =0.44 (CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 1:1); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.52 (s, 1H, 13-H), 6.64 (s, 1H, 6-H), 5.94 (d, J=1.4 Hz, 1H, 11-H<sub>b</sub>), 5.91 (d, J=1.4 Hz, 1H, 11-H<sub>a</sub>), 4.29 (m<sub>c</sub>, 1H, 1-H), 4.00 (d, J=15.7 Hz, 1H, 8-H<sub>b</sub>), 3.53 (d, J=15.7 Hz, 1H, 8-H<sub>b</sub>), 3.00–2.90 (m, 1H, 6-H<sub>b</sub>), 2.79 (d, J=5.2 Hz, 1H, 12b-H), 2.51-2.41 (m, 1H, 6-H<sub>a</sub>), 2.35-2.21 (m, 1H, 2-H<sub>b</sub>), 2.06–1.92 (m, 1H, 4-H<sub>b</sub>), 1.87–1.54 (m, 6H, 2-H<sub>a</sub>, 3-H<sub>2</sub>, 4-H<sub>b</sub>, 5-H<sub>2</sub>);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta = 146.5$  (C-9a), 146.1 (C-12a), 130.0 (C-13a), 126.8 (C-8a), 109.3 (C-13), 108.0 (C-9), 100.7 (C-11), 76.48 (C-1), 69.58 (C-3a), 52.95 (C-13b), 51.28 (C-6), 41.21 (C-4), 41.99 (C-2), 36.15 (C-2), 31.97 (C-3), 22.63 (C-5); IR (KBr):  $\tilde{\nu} = 3378$  (-OH), 2866 (C-H), 1483 cm<sup>-1</sup> (-OH); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg  $\varepsilon$ )=292.5 (3.538), 231.5 (3.544), 200.0 nm (4.448); MS (70 eV, EI): m/z (%)=287.3 (6) [M<sup>+</sup>], 270.2 [C<sub>17</sub>H<sub>20</sub>NO<sub>2</sub><sup>+</sup>], 229.2 (9)  $[C_{14}H_{15}NO_{2}^{+}], 217.1 (13) [C_{12}H_{11}NO_{3}^{+}], C_{17}H_{21}NO_{3}$ (287.3); HRMS calcd 287.1521, found 287.1521.

4.3.7. cis-2,3,4,5,8,13b-Hexahydro-6H-cyclopenta-[a][1,3]dioxolo[4,5-g]pyrrolo[2,1-b][3]isoquinolin-1-ol (15).  $R_f = 0.24$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 1:1); <sup>1</sup>H NMR (300 MHz,  $C_6D_6$ ):  $\delta = 6.87$  (s, 1H, 13-H), 6.74 (s, 6-H), 5.39 (s, 2H, 11-H), 3.72-3.47 (m, 3H, 8-H<sub>b</sub>, 1-H, OH), 3.43-3.34 (d, J=16 Hz, 1H, 8-H<sub>a</sub>), 2.78–2.68 (m, 1H, 6-H<sub>b</sub>), 2.49–2.36 (m, 2H, 13b-H, 6-H<sub>a</sub>), 1.34–1.95 (m, 8H, 5-H<sub>2</sub>, 4-H<sub>2</sub>, 3-H<sub>2</sub>, 2-H<sub>2</sub>); <sup>13</sup>C NMR (75 MHz, C<sub>6</sub>D<sub>6</sub>):  $\delta$ =146.9 (C-5a), 146.3 (C-12a), 131.4 (C-13a), 127.4 (C-8a), 109.6 (C-13), 108.0 (C-9), 100.6 (C-11), 80.26 (C-1), 68.76 (C-3a), 55.19 (C-13b), 51.27 (C-6), 48.50 (C-8), 42.95 (C-4), 34.20 (C-2), 32.83 (C-3), 22.86 (C-5); IR (KBr):  $\tilde{\nu} = 3341$ (-OH), 2954 (C-H), 1484 cm<sup>-1</sup> (-OH); UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ )=292.5 (3.610), 232.5 (3.671), 200.5 nm (4.513); MS (70 eV, EI): m/z (%)=287.3 (6) [M<sup>+</sup>], 270.2  $[C_{17}H_{20}NO_2^+]$ , 229.2 (9)  $[C_{14}H_{15}NO_2^+]$ , 217.1 (13) [C<sub>12</sub>H<sub>11</sub>NO<sub>3</sub><sup>+</sup>], C<sub>17</sub>H<sub>21</sub>NO<sub>3</sub> (287.3); HRMS calcd 287.1521, found 287.1521.

**4.3.8.** 2,3,4,5-Tetrahydro-1*H*-cyclopenta[*b*][1,3]dioxolo[4,5-*f*]pyrrolo[1,2-*a*]indol-6-(12*bH*)-ol (14c). About 0.41 mL (0.940 mmol, 3.0 equiv) of LiAlH<sub>4</sub> solution (2.3 M in THF) was dissolved in THF (10 mL). Sulfuric acid (96%, 25  $\mu$ L, 0.470 mmol, 1.5 equiv) was carefully added at 0 °C and the resulting suspension stirred for further 2 h at rt. A solution of **6c** (85 mg, 0.313 mmol) in THF (10 mL) was added and the reaction mixture was stirred for 1 h at rt. The suspension was poured into NaCl saturated 10% NH<sub>4</sub>Cl solution (80 mL) and extracted with dichloromethane (3×60 mL). The combined organic phases were dried over Mg<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by preparative thin layer chromatography with ethyl acetate as an eluent to give **14c** (53 mg, 0.204 mmol) in 65% yield.

 $R_f$ =0.27 (EE); <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>): δ=6.74 (s, 1H, 12-H), 6.19 (s, 1H, 8-H), 5.42 (s, 2H, 10-H<sub>2</sub>), 4.17 (br s, 1H, 1-H), 3.16 (d, *J*=8.0 Hz, 1H, 12b-H), 3.09–2.99 (m, 1H, 6-H<sub>b</sub>), 2.70–2.59 (m, 1H, 6 H<sub>b</sub>), 1.85–1.65 (m, 3H, 3-H<sub>b</sub>, 4-H<sub>b</sub>), 1.59–1.37 (m, 4H, 5-H, 3-H<sub>a</sub>, OH), 1.36–1.27 (m, 2H, 2-H<sub>2</sub>), 1.20–1.07 (ddd, *J*=5.8, 11.8, 18.0 Hz, 1H, 4-H<sub>a</sub>); <sup>13</sup>C NMR (75 MHz, C<sub>6</sub>D<sub>6</sub>): δ=152.1 (C-8a), 148.6 (C-11a), 141.4 (C-7a), 112.3 (C-12a), 107.4 (C-12, 100.8 (C-10), 94.22 (C-8), 83.17 (C-3a), 75.47 (C-1), 56.18 (C-12b), 52.64 (C-6), 37.36 (C-4), 36.84 (C-2), 34.17 (C-3),

25.77 (C-5); IR (KBr):  $\tilde{\nu} = 3385$  (–OH), 2924 (C–H), 1476 cm<sup>-1</sup> (–OH); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg  $\varepsilon$ )=328.5 (3.730), 255.0 (3.736), 204.5 nm (4.394); MS (70 eV, EI): m/z (%)=259.2 (100) [M<sup>+</sup>], 242 (2) [C<sub>15</sub>H<sub>16</sub>NO<sub>2</sub><sup>+</sup>], 230.1 (86) [C<sub>14</sub>H<sub>16</sub>NO<sub>2</sub><sup>+</sup>], C<sub>15</sub>H<sub>17</sub>NO<sub>3</sub> (259.2); HRMS calcd 259.1208, found 259.1208.

## 4.4. General procedure III—reduction of the pentacycles 6a–c with NaBH<sub>4</sub>

To a 0.01 M solution of **6a–c** in MeOH 5.0 equiv NaBH<sub>4</sub> was added at -60 °C. The reaction mixture was stirred at -60 °C for 3 h, poured into pH7 buffer solution and extracted three times with dichloromethane. The combined organic phases were dried over MgSO<sub>4</sub> and concentrated to dryness in vacuo.

**4.4.1. 1-Hydroxy-2,3,4,5,8,9-hexahydro-6H,14bH-cyclopenta**[*a*][1,3]dioxolo[4,5-*h*]pyrrolo[2,1-*b*][3]benzazepin-**6-on (16a).** According to general procedure III, pentacycle **6a** (200 mg, 0.668 mmol) was reduced with NaBH<sub>4</sub> (128 mg, 3.46 mmol, 5.0 equiv) to give **16a** in 99% yield.

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.62 (s, 1H, 10-H), 6.58 (s, 1H, 14-H), 5.89 (s, 2H, 12-H<sub>2</sub>), 4.36 (m<sub>c</sub>, 1H, 1-H), 3.96 (dt, *J*=5.7, 13.1 Hz, 1H, 8-H<sub>b</sub>), 3.79 (dt, *J*=5.4, 13.8 Hz, 1H, 9-H<sub>b</sub>), 3.17 (m<sub>c</sub>, 2H, 8-H<sub>a</sub>, 14b-H), 2.68–2.54 (m, 1H, 5-H<sub>b</sub>), 2.47 (m<sub>c</sub>, 1H, 9-H<sub>a</sub>), 2.29–2.18 (m, 2H, 3-H<sub>2</sub>), 2.10–1.94 (m, 3H, 4-H<sub>b</sub>, 2-H<sub>2</sub>), 1.93–1.77 (m, 1H, 4-H<sub>a</sub>), 1.75–1.63 (m, 1H, 5-H<sub>a</sub>).

<sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =175.1 (C-1), 146.9 (C-13a), 146.3 (C-10a), 132.61 (C-9a), 128.3 (C-14a), 111.9 (C-14), 110.6 (C-10), 100.9 (C-12), 75.87 (C-1), 69.21 (C-3a), 63.14 (C-14b), 39.22 (C-2), 38.99 (C-8), 33.34 (C-5), 31.57 (C-4), 30.46 (C-9), 29.43 (C-3); IR (KBr):  $\tilde{\nu}$  = 3396 (–OH), 2921 (C–H), 1662 (NC=O), 1487 cm<sup>-1</sup> (–OH); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg ε)=290.0 (3.568), 236.5 (3.586), 201.5 nm (4.602); MS (70 eV, EI): *m*/*z* (%)=301.3 (100) [M<sup>+</sup>], 272.2 (12) [C<sub>16</sub>H<sub>18</sub>NO<sub>3</sub><sup>+</sup>], 258.2 (6) [C<sub>12</sub>H<sub>11</sub>NO<sub>3</sub><sup>+</sup>], C<sub>17</sub>H<sub>19</sub>NO<sub>4</sub> (301.3); HRMS calcd 301.1314, found 301.1314.

**4.4.2.** 1-Hydroxy-2,3,4,5,8,13b-hexahydro-6*H*-cyclopenta[*a*][1,3]dioxolo[4,5-*g*]pyrrolo[2,1-*b*][3]isochinolin-6-on (16b). According to general procedure III, pentacycle 6b (100 mg, 0.351 mmol) was reduced with NaBH<sub>4</sub> (66 mg, 1.74 mmol, 5.0 equiv). The crude product was purified by preparative thin layer chromatography with ethyl acetate as an eluent to give 16b (85 mg, 0.296 mmol) in 86% yield as a 4:1 (16b/17) mixture of isomers.

 $R_f$ =0.21 (EE); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.73 (s, 1H, 9-H<sub>1</sub>), 6.62 (s, 1H, 13-H<sub>1</sub>), 5.91 (s, 2H, 11-H<sub>2</sub>), 4.81 (d, *J*=16.2 Hz, 0.8H, *trans*-8-H<sub>1</sub>), 4.73 (d, *J*=16.2, 0.2 Hz, *cis*-8-H<sub>1</sub>), 4.30 (m<sub>c</sub>, 0.2H, *cis*-1-H<sub>1</sub>), 3.94 (m<sub>c</sub>, 0.7H, *trans*-1-H<sub>1</sub>), 3.84 (d, *J*=16.2 Hz, *cis*-8-H<sub>1</sub>), 3.78 (d, *J*=16.2 Hz, 0.8H, *trans*-8-H<sub>1</sub>), 3.05 (d, *J*=6 Hz, 0.8H, *cis*-13b-H<sub>1</sub>), 2.75 (d, *J*=6 Hz, 0.8H, *trans*-13b-H<sub>1</sub>), 2.59–2.45 (m, 1H, 5-H<sub>1</sub>), 2.59–1.43 (m, 7H, 2-H<sub>2</sub>, 3-H<sub>2</sub>, 4-H<sub>2</sub>, 5-H<sub>1</sub>).

<sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =172.7 (C-6), 146.8 (C-9a), 146.1 (C-12a), 129.0 (C-13a), 125.9 (C-8a), 108.8 (C-13), 106.5 (C-9), 100.9 (C-11), 80.10 (C-1), 66.20 (C-3a),

56.66 (C-13b), 38.62 (C-8), 36.30 (C-3), 32.40 (C-4), 31.78 (C-2), 30.58 (C-5); IR (KBr):  $\tilde{\nu} = 3398$  (–OH), 2957 (C–H), 1664 (NC=O), 1485 cm<sup>-1</sup> (–OH); UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ )=291.5 (3.625), 202.0 nm (4.588); MS (70 eV, EI): m/z (%)=287.1 (167) [M<sup>+</sup>], 285.1 (33) [C<sub>15</sub>H<sub>16</sub>NO<sub>3</sub><sup>+</sup>], 228.1 (100) [C<sub>12</sub>H<sub>14</sub>NO<sub>2</sub><sup>+</sup>]; HRMS calcd 287.1158, found 287.1158.

**4.4.3.** 1-Hydroxy-2,3,4,5-tetrahydro-1*H*-cyclopenta-[*b*][1,3]dioxolo[4,5-*f*]pyrrolo[1,2-*a*]indol-6-(12b*H*)-on (16c). According to general procedure III, pentacycle 6c (200 mg, 0.737 mmol) was reduced with NaBH<sub>4</sub> (140 mg, 3.69 mmol, 5.0 equiv) to give 16c in 99% yield.

 $R_f$ =0.39 (EE); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): δ=7.23 (s, 1H, 8-H), 6.78 (s, 1H, 12-H), 5.91 (s, 2H, 10-H<sub>2</sub>), 4.50 (m<sub>c</sub>, 1H, 1-H), 3.58 (d, *J*=6.9 Hz, 2H, 2-H), 2.84 (ddd, *J*=8.0, 12.3, 20.0 Hz, 1H, 5-H<sub>b</sub>), 2.49–2.34 (m, 1H, 5-H<sub>a</sub>), 2.29–2.05 (m, 3H, 4-H<sub>b</sub>, 3-H<sub>2</sub>), 1.97–1.80 (m, 2H, 4-H<sub>a</sub>, 2-H<sub>b</sub>), 1.56–1.44 (m, 1H, 2-H<sub>a</sub>).

<sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =173.5 (C-6), 147.5 (C-11a), 144.3 (C-8a), 135.5 (C-7a), 122.3 (C-12a), 107.1 (C-12), 101.4 (C-10), 97.42 (C-8), 78.31 (C-12b), 73.99 (C-1), 55.80 (C-2), 36.75 (C-4), 36.41 (C-3), 24.09 (C-5), 32.94 (C-2); IR (KBr):  $\tilde{\nu}$  = 3393 (-OH), 2901 (C-H), 1671 (NC=O), 1479 cm<sup>-1</sup> (-OH); UV (CH<sub>3</sub>CN):  $\lambda_{max}$  (lg ε)= 310.5 (3.817), 264.5 (3.956), 206 nm (4.327); MS (70 eV, EI): *m/z* (%)=273.2 (12) [M<sup>+</sup>], 244.2 (5) [C<sub>14</sub>H<sub>14</sub>NO<sub>3</sub><sup>†</sup>], 217.1 (13) [C<sub>12</sub>H<sub>11</sub>NO<sub>3</sub><sup>†</sup>], C<sub>15</sub>H<sub>15</sub>NO<sub>4</sub> (273.1); HRMS calcd 273.1001, found 273.1001.

4.4.4. 4-Methyl-6-oxo-2,3,4,5,8,9-hexahydro-6H,14bHcyclopenta[a][1,3]dioxolo[4,5-h]pyrrolo[2,1-b][3]benzazepin-1-yl-2-hydroxy(3-methylbutyl)malic acid-ester (20a). To a solution of 18 (75.0 mg, 342 µmol, 2.0 equiv) in THF (2 mL) was added at 0 °C carbonyldiimidazole (59.0 mg, 359 µmol, 2.1 equiv). The reaction mixture was warmed to rt and stirred for 12 h. A solution of 16a (52.0 mg, 171 µmol) in THF (1 mL) was added and the resulting mixture cooled to -78 °C. NaH (14 mg, 598 µmol, 3.5 equiv) was added, the reaction mixture warmed to rt within 12 h and poured into brine (4 mL). The resulting suspension was extracted with ethyl acetate  $(4 \times 10 \text{ mL})$ , the combined organic phases were dried over Mg<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by preparative thin layer chromatography with ethyl acetate as an eluent to give 20a (56 mg, 112 µmol) in 65% vield.

*R<sub>f</sub>*=0.38 (EE); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.62 (s, 1H, 10-H<sub>1</sub>), 6.57 (s, 0.5H, 14-H<sub>1</sub><sup>\*</sup>), 6.55 (s, 0.5H, 14-H<sub>1</sub>), 5.88 (s, 2H, 12-H<sub>2</sub>), 5.51–5.39 (m, 1H, 1-H<sub>1</sub>), 4.16–3.99 (m, 1H, 8-H<sub>1</sub>), 3.67 (s, 1.5H, OMe), 3.53 (s, 1.5H, OMe), 3.44 (m<sub>c</sub>, 2H, 9-H<sub>1</sub>, 14b-H<sub>1</sub>), 3.18 (m<sub>c</sub>, 1H, 8-H<sub>1</sub>), 2.71–1.96 (m, 10H, 2-H<sub>2</sub>, 3-H<sub>2</sub>, 4-H<sub>2</sub>, 5-H<sub>1</sub>, 9-H<sub>1</sub>, 3'-H<sub>2</sub>), 1.87–0.91 (m, 6H, 1″-H<sub>2</sub>, 2″-H<sub>2</sub>, 5-H<sub>1</sub>, 3″-H<sub>1</sub>), 0.87–0.78 (m, 3H, 3″-CH<sub>3</sub>), 0.75–0.63 (m, 3H, 3″-CH<sub>3</sub>); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =175.0 (C-1'\*), 174.9 (C-1'), 174.4 (C-4'\*), 174.2 (C-4'), 171.0 (C-6\*), 170.8 (C-6), 147.1 (C-10a\*), 147.0 (C-10a), 146.4 (C-13a\*), 146.3 (C-13a), 131.5 (C-14a\*), 131.4 (C-14a), 128.2 (C-9a\*), 128.1 (C-9a), 111.8 (C-10\*), 111.7 (C-10), 110.4 (C-14\*), 110.4 (C-14), 101.1 (C-12\*), 101.0

(C-12), 79.43 (C-1\*), 79.11 (C-1), 74.83 (C-2'\*), 74.77 (C-2'), 69.19 (C-3a), 60.21 (C-14b\*), 60.18 (C-14b), 51.80 (OMe\*), 51.58 (OMe), 43.22 (C-3'\*), 42.90 (C-3'), 39.39 (C-2\*), 39.36 (C-2), 38.96 (C-8\*), 38.91 (C-8), 36.64, 33.63, 31.62, 30.81, 30.74, 29.97, 29.30, 27.84 (C-3, C-4, C-5, C-9, C-1", C-2", C-3"), 22.51 (Me\*), 22.46 (Me), 22.17 (Me\*), 21.93 (Me); IR (KBr):  $\tilde{\nu} = 3435$  (-OH), 2955 (C-H), 1741 (N-(C=O)), 1682 cm<sup>-1</sup> (N-(C=O)); UV (MeCN):  $\lambda_{max}$  (lg  $\varepsilon$ )=290.0 (5.023), 237.5 (4.936), 202.5 nm (4.867); MS (70 eV, EI): *m/z* (%)=501 (8) [M<sup>+</sup>], 300 (8) [C<sub>17</sub>H<sub>18</sub>NO<sup>4</sup>], 283 (100) [C<sub>17</sub>H<sub>17</sub>NO<sup>3</sup>], C<sub>27</sub>H<sub>35</sub>NO<sub>8</sub> (501.6); HRMS calcd 501.2363, found 501.2363.

4.4.5. 4-Methyl-6-oxo-1,2,3,4,5,6,8,13b-octahydrocyclopenta[c][1,3]dioxolo[4,5-g]pyrrolo[1,2-b]isoquinolin-1yl-2-(3-methylbutyl)malic acid-ester (20b). To a solution of 18 (75.0 mg, 342 µmol, 2.0 equiv) in THF (2 mL) was added at 0 °C carbonyldiimidazole (59.0 mg, 359 µmol, 2.1 equiv). The reaction mixture was warmed to rt and stirred for 12 h. A solution of 16b (52.0 mg, 171 µmol) in THF (1 mL) was added and the resulting mixture cooled to -78 °C. NaH (14 mg, 598 µmol, 3.5 equiv) was added, the reaction mixture warmed to rt within 12 h and poured into brine (4 mL). The resulting suspension was extracted with ethyl acetate  $(4 \times 10 \text{ mL})$ , the combined organic phases were dried over Mg<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by preparative thin layer chromatography with ethyl acetate as an eluent to give 20b (56 mg, 112 µmol) in 53% yield.

 $R_f = 0.42$  (EE); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta = 6.96$  (s. 0.5 Hz, 12-H<sup>\*</sup><sub>1</sub>), 6.89 (s, 0.5 Hz, 12-H<sub>1</sub>), 6.58 (s, 0.5 Hz, 9-H<sup>\*</sup><sub>1</sub>), 6.56 (s, 0.5H, 9-H<sub>1</sub>), 5.90 (m<sub>c</sub>, 2H, 11-H<sub>2</sub>), 5.11-5.23 (m, 1H, 1-H<sub>1</sub>), 4.84 (d, J=16.5 Hz, 1H, 8-H<sub>1</sub>), 3.90 (d, J=16.5 Hz, 1H, 8-H<sub>1</sub>), 3.67 (s, 1.5H, OMe), 3.65 (s, 1.5H, OMe), 3.18 (m<sub>c</sub>, 0.5H, 13b-H<sub>1</sub><sup>\*</sup>), 3.08 (m<sub>c</sub>, 0.5H, 13b-H<sub>1</sub>), 2.97 (d, J=5.3 Hz, 0.5H, 3'-H<sub>1</sub>), 2.91 (d, J=5.3 Hz, 0.5H, 3'-H<sub>1</sub>), 2.76 (d, J=5.3 Hz, 0.5H, 3'-H<sub>1</sub>), 2.70 (d, J=5.3 Hz, 0.5H, 3'-H<sub>1</sub>), 2.01–0.92 (m, 13H, 2-H<sub>2</sub>, 3-H<sub>2</sub>, 4-H<sub>2</sub>, 5-H<sub>2</sub>, 1"-H<sub>2</sub>, 2"-H<sub>2</sub>, 3"-H<sub>1</sub>), 0.89–0.82 (m, 6H,  $2 \times \text{Me}$ ); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =174.9 (C-1'), 22.35 (Me), 22.39 (Me\*), 22.51 (Me), 22.54 (Me\*), 172.9 (C-4'\*), 172.8 (C-4'), 171.4 (C-6\*), 171.2 (C-6), 147.3 (C-9a), 146.7 (C-12a), 126.7 (C-13a), 125.7 (C-8a\*), 125.5 (C-8a), 108.9 (C-9\*), 108.7 (C-9), 106.4 (C-13\*), 106.2 (C-13), 101.1 (C-11), 83.80 (C-1\*), 83.63 (C-1), 75.20 (C-2'\*), 75.12 (C-2'), 66.35 (C-3a\*), 66.25 (C-3a), 53.62 (C-13b\*), 53.38 (C-13b), 51.95 (OMe\*), 51.91 (OMe), 43.51 (C-3'\*), 43.48 (C-3'), 38.90 (C-8), 38.96 (C-8\*), 37.20, 35.37, 34.98, 33.06, 32.83, 32.00, 31.96, 30.22, 30.15, 29.03, 28.75, 28.10, 28.01, 17.24 (C-2, C-2\*, C-3, C-3\*, C-4, C4\*, C-5, C-5\*, C-1", C-1"\*, C-2", C-2"\*, C-3", C-3"\*); IR (KBr):  $\tilde{\nu} = 3467$  (–OH), 2956 (C–H), 1742 (N– (C=O)), 1688 cm<sup>-1</sup> (N-(C=O)); UV (MeCN):  $\lambda_{max}$  $(\lg \varepsilon)=291.5$  (2.073), 202.5 nm (1.915); MS (70 eV, EI): m/z (%)=510 (100) [M+Na<sup>+</sup>], 998 (8) [2 M+Na<sup>+</sup>], C<sub>26</sub>H<sub>33</sub>NO<sub>8</sub> (487.5); HRMS calcd 487.2206, found 488.2279 [M+H]+ (ESI-HRMS).

4.4.6. 4-Methyl-2,3,4,5,8,9-hexahydro-6*H*,14b*H*-cyclopenta[*a*][1,3]dioxolo[4,5-*h*]pyrrolo[2,1-*b*][3]benzazepin-1-yl-2-hydroxy-(3-methylbutyl)malic acid-ester (5a). To solution of **20a** (20.0 mg, 40 µmol) in dichloromethane (1 mL) were added di-*tert*-butyl-4-methylpiperidine (29.0 mg, 140 µmol, 3.5 equiv) and trimethyloxoniumtetrafluoroborate (15.8 mg, 100 µmol, 2.5 equiv) at rt and stirred for 12 h. The yellow solution was diluted with MeOH (2 mL), cooled to 0 °C and sodium borohydride (9.0 mg, 240 µmol, 6.0 equiv) was added. After 1 h at 0 °C the reaction mixture was poured into a pH7 buffer solution (10 mL), extracted with dichloromethane (4×15 mL), dried over Mg<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by preparative thin layer chromatography with ethyl acetate/methanol (1:1) as eluent to give **5a** (11 mg, 23 µmol) in 57% yield.

 $R_f = 0.24$  (EE/MeOH, 1:1); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta = 6.64$  (s, 0.5H, 10-H<sub>1</sub><sup>\*</sup>), 6.63 (s, 0.5H, 10-H<sub>1</sub>), 6.60 (s, 0.5H, 14-H<sub>1</sub><sup>\*</sup>), 6.59 (s, 0.5H, 14-H<sub>1</sub>), 5.87 (m<sub>c</sub>, 2H, 12-H<sub>2</sub>),  $5.45 (m_c, 0.5H, 1-H_1^*), 5.39 (m_c, 0.5H, 1-H_1), 3.65 (s,$ 1.5H, OMe\*), 3.55 (s, 1.5H, OMe), 3.49-3.37 (m, 1H, 14b-H1), 3.37-0.85 (m, 21H, 2-H2, 3-H2, 4-H2, 5-H2, 6- $\begin{array}{c} H_2, \ 8\text{-}H_2, \ 9\text{-}H_2, \ 3'\text{-}H_2, \ 1''\text{-}H_2, \ 2''\text{-}H_2, \ 3'\text{-}H_1), \ 0.84\text{--}0.75 \ (m, \\ 3H, \ 3''\text{-}CH_3), \ 0.74\text{--}0.63 \ (m, \ 3H, \ 3''\text{-}CH_3); \ ^{13}\text{C} \ \text{NMR} \end{array}$ (75 MHz, CDCl<sub>3</sub>):  $\delta$ =174.5 (C-1'\*), 174.4 (C-1'), 170.8 (C-4<sup>'\*</sup>), 146.8 (C-10a), 146.3 (C-13a<sup>\*</sup>), 146.2 (C-13a), 132.2 (C-14a\*), 131.8 (C-14a), 128.7 (C-9a\*), 128.2 (C-9a), 112.1 (C-10\*), 111.9 (C-10), 110.3 (C-14\*), 110.0 (C-14), 101.0 (C-12\*), 100.9 (C-12), 79.60 (C-1), 74.93 (C-2'\*), 74.80 (C-2'), 71.24 (C-3a\*), 70.53 (C-3a), 58.99 (C-14b\*), 58.46 (C-14b), 51.73 (OMe\*), 51.58 (OMe), 49.16 (C-6\*), 48.60 (C-6), 43.50 (C-3'\*), 43.22 (C-3'), 37.13, 36.88, 31.65, 31.39, 31.18, 31.11, 30.09, 29.62, 27.86, 27.83, 29.82 (C-2, C-3, C-4, C-8, C-9, C-1", C-2", C-3"), 21.92 (Me), 22.19 (Me\*), 22.54 (Me); IR (KBr):  $\tilde{\nu} = 2956$ (C-H), 1741 (N-(C=O)), 1506 cm<sup>-1</sup> (NH); UV (MeCN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ )=290.5 (2.071), 201.5 nm (1.912); MS (70 eV, EI): m/z (%)=487 (23) [M<sup>+</sup>], 286 (5) [C<sub>17</sub>H<sub>21</sub>NO<sub>3</sub><sup>+</sup>], 270 (100)  $[C_{17}H_{20}NO_2^+]$ ,  $C_{27}H_{37}NO_7$  (487.6); HRMS calcd 487.2570, found 488.26238 [M+H]<sup>+</sup> (ESI-HRMS).

4.4.7. 4-Methyl-1,2,3,4,5,6,8,13b-octahydrocyclopenta-[c][1,3]dioxolo[4,5-g]pyrrolo[1,2-b]isoquinolin-1-yl-2-(3methylbutyl)malic acid-ester (5b). To solution of **20b** (29.0 mg, 60 µmol) in dichloromethane (1.5 mL) were added di-tert-butyl-4-methylpiperidine (43.0 mg, 209 µmol, 3.5 equiv) and trimethyloxoniumtetrafluoroborate (22.0 mg, 149 µmol, 2.5 equiv) at rt and stirred for 12 h. The yellow solution was diluted with MeOH (3 mL), cooled to 0 °C and sodium borohydride (13.0 mg, 358 µmol, 6.0 equiv) was added. After 1 h at 0 °C the reaction mixture was poured into a pH7 buffer solution (15 mL), extracted with dichloromethane ( $4 \times 20$  mL), dried over Mg<sub>2</sub>SO<sub>4</sub> and concentrated to dryness in vacuo. The crude product was purified by preparative thin layer chromatography with ethyl acetate/ methanol (1:1) as eluent to give 5b (11 mg, 23 µmol) in 39% yield.

 $R_f$ =0.32 (EE/MeOH, 1:1); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =6.88 (s, 0.5H, 12-H<sub>1</sub>), 6.83 (s, 0.5H, 12-H<sub>1</sub>), 6.57 (s, 0.5H, 9-H<sub>1</sub><sup>\*</sup>), 6.55 (s, 0.5H, 9-H<sub>1</sub>), 5.90 (m<sub>c</sub>, 2H, 11-H<sub>2</sub>), 5.06 (m<sub>c</sub>, 1H, 1-H<sub>1</sub>), 3.86 (d, *J*=15.7 Hz, 1H, 8-H<sub>1</sub>), 3.68 (s, 1.5H, OMe), 3.66 (s, 1.5H, OMe), 2.94 (m<sub>c</sub>, 2H, 13b-H<sub>1</sub>), 2.74 (m, 3H, 8-H<sub>1</sub>, 3'-H<sub>2</sub>), 2.07–1.21 (m, 14H, 2-H<sub>2</sub>, 3-H<sub>2</sub>, 4-H<sub>2</sub>, 5-H<sub>2</sub>, 6-H<sub>2</sub>, 1"-H<sub>2</sub>, 2"-H<sub>2</sub>), 0.98 (m<sub>c</sub>, 1H,

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3"-H), 0.87 (m, 6H, 2 \times 3"-Me); <sup>13</sup>C NMR (75 MHz,
CDCl<sub>3</sub>): \delta = 174.8 (C-1*), 174.7 (C-1'), 171.1 (C-4'*),
171.0 (C-4'), 146.6 (C-9a*), 146.5 (C-9a), 146.2 (C-12a*),
146.1 (C-12a), 128.2 (C-13a), 127.0 (C-8a*), 126.8 (C-8a),
109.0 (C-9*), 108.9 (C-9), 107.2 (C-13*), 107.0 (C-13),
100.1 (C-11), 84.49 (C-1*), 84.31 (C-1), 75.00 (C-2'*),
74.98 (C-2'), 68.55 (C-3a*), 68.42 (C-3a), 51.82 (OMe),
50.93 (C-8*), 50.84 (C-8), 51.04 (C-13b*), 50.51 (C-13b),
48.21 (C-3'*), 48.17 (C-3'), 43.60 (C-6*), 43.50 (C-6),
41.39, 40.91, 37.33, 37.23, 31.94, 31.83, 31.28, 31.11,
29.40, 29.12, 28.07, 27.00 (C-2, C-2*, C-3, C-3*, C-4,
C4*. C-1", C-1"*, C-2", C-2"*, C-3", C-3"*), 22.54 (Me*),
22.47 (Me), 22.36 (Me*), 22.30 (Me), 21.64 (C-5*), 21.41
(C-5); IR (KBr): \tilde{\nu} = 2955 (C–H), 1739 (N–(C=O)),
1487 cm<sup>-1</sup> (NH); UV (MeCN): \lambda_{max} (lg \varepsilon)=369.5 (2.163),
294.0 (2.064), 201.5 nm (1.900); MS (70 eV, EI): m/z
(\%)=487 (23) [M<sup>+</sup>], 286 (5) [C<sub>17</sub>H<sub>21</sub>NO<sub>3</sub><sup>+</sup>], 270 (100)
[C<sub>17</sub>H<sub>20</sub>NO<sup>+</sup><sub>2</sub>], C<sub>26</sub>H<sub>35</sub>NO<sub>7</sub> (473.6); HRMS calcd 473.2413,
found 474.2485 [M+H]<sup>+</sup> (ESI-HRMS).
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